

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
29 August 2002 (29.08.2002)

PCT

(10) International Publication Number  
**WO 02/066106 A1**

(51) International Patent Classification<sup>7</sup>: **A61M 16/16**

(21) International Application Number: **PCT/AU02/00155**

(22) International Filing Date: 14 February 2002 (14.02.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
PR 3117 16 February 2001 (16.02.2001) AU  
PR 7288 27 August 2001 (27.08.2001) AU

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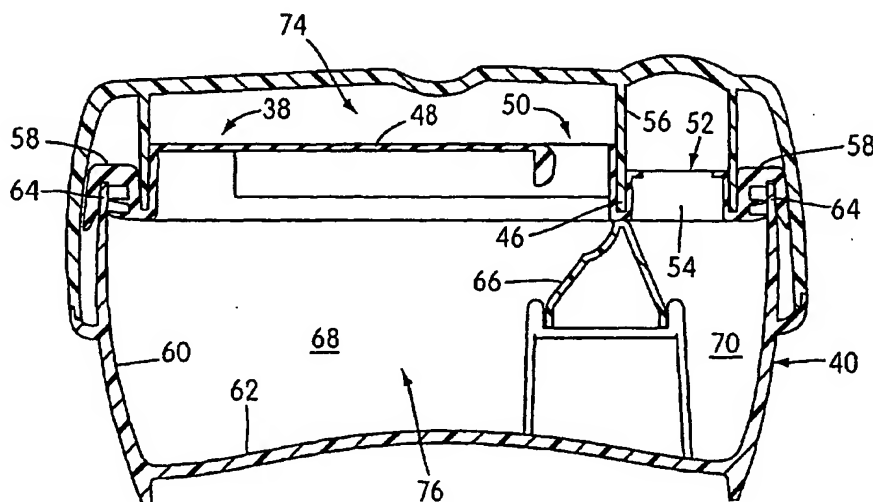
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(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

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(54) Title: **HUMIDIFIER WITH STRUCTURE TO PREVENT BACKFLOW OF LIQUID THROUGH THE HUMIDIFIER INLET**



(57) Abstract: The present invention provides an apparatus (10, 30, 120, 170) for humidifying breathable gas including at least one humidifier part (12, 18, 36, 38, 40) configured to retain a body of liquid therein and defining at least one chamber (14, 16, 74, 76) therein, an inlet (22, 32) communicated with an interior of the at least one humidifier part (12, 18, 36, 38, 40) and connectable to a blower outlet, and an outlet (24, 34) communicated with the interior of the at least one humidifier part (12, 18, 36, 38, 40) and connectable to a patient supply conduit. The at least one chamber (14, 16, 74, 76) is arranged such that liquid from the body of liquid is prevented from exiting the at least one humidifier part (12, 18, 36, 38, 40) through the inlet (22, 32) thereof when the at least one humidifier part (12, 18, 36, 38, 40) is rotated from a working, upright orientation.

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WO 02/066106 A1

WO 02/066106 A1



**Published:**

— with international search report

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## **HUMIDIFIER WITH STRUCTURE TO PREVENT BACKFLOW OF LIQUID THROUGH THE HUMIDIFIER INLET**

The present application claims priority to Australian Provisional Applications PR3117, filed on February 16, 2001 and PR7288, filed on August 27, 2001, the specifications and drawings of which are incorporated by reference in their entireties.

The present invention relates to a humidifier for use with an apparatus for supplying breathable gas such as those used for Non-invasive Positive Pressure Ventilation (NIPPV) or Continuous Positive Airway Pressure (CPAP).

An apparatus for NIPPV or CPAP typically comprises a blower whose output is connected to a patient interface, such as a mask, via an air delivery conduit. Some patients find NIPPV or CPAP more comfortable when provided with humidified air. To this end, manufacturers often supply humidifiers which may be attached in the air circuit between the blower outlet and the patient interface. Humidifiers typically include a water reservoir and are configured such that ambient air from the blower entering the humidifier collects moisture through contact with the water, before continuing on to the patient interface.

Typically, the blower and humidifier are separate components connected via a flexible conduit. An air delivery conduit connects the humidifier outlet to a patient interface mask. Alternatively, the blower and humidifier may be rigidly connected together. Air from the blower outlet passes into the humidifier inlet where it is humidified and then passes to the air delivery conduit. A potential problem with either arrangement is that if the humidifier is tilted relative to its normal orientation, water may run or spill from the humidifier into the blower outlet which may damage the electrical circuits of the blower and potentially cause infection control problems.

It is one aspect of the present invention to substantially overcome or at least ameliorate the prior art disadvantages.

It is another aspect to provide a humidifier for a CPAP apparatus that is adapted to substantially prevent liquid contained thereto from undesirably exiting an inlet of the humidifier.

It is another aspect to provide a humidifier that is capable of directly connecting to a CPAP apparatus.

It is another aspect to provide a humidifier that has an inlet that is directly connectable with a CPAP apparatus to effectively eliminate a supply tube.

- 5 It is another aspect to provide a humidifier that is capable of heating the liquid contained therein.

One embodiment of the present invention includes an apparatus for humidifying breathable gas including a humidifier body configured to retain a body of liquid therein, an inlet communicated with an interior of the humidifier body and connectable to a blower outlet, and an outlet communicated with the interior of the humidifier body and connectable to a patient supply conduit. The interior of the humidifier is arranged such that liquid from the body of liquid is prevented from exiting the humidifier body through the inlet thereof when the humidifier body is rotated from a working, upright orientation.

15 In this manner, the liquid is substantially prevented from entering the blower outlet and possibly damaging the blower.

It is contemplated that the apparatus may also include a first chamber having an inlet and an outlet, the first chamber inlet preferably being connectable to a blower outlet, a second chamber having an inlet preferably connected to the first chamber outlet, and an outlet preferably connectable to the patient supply conduit, the second chamber preferably having the carrying capacity for the body of liquid. The first chamber inlet and outlet and volumes of the first and second chambers may be adapted such that, when the humidifier is disposed in the working upright orientation, the body of liquid is contained in the second chamber and, in other relative positions of the humidifier, the body of liquid is retained in at least one of the second chamber and the first and second chambers at a level therewithin below a level of the first chamber inlet.

A volume of the second chamber may be larger than a volume of the first chamber.

The first chamber may be located substantially above the second chamber in the working upright orientation of the apparatus.

The first chamber inlet and outlet may be located adjacent opposing sections of the first chamber.

The second chamber outlet may be located closer to the first chamber outlet than the first chamber inlet.

- 5 At least a portion of a base of the second chamber may be made of a heat conducting material.

The heat conductive portion may be in the form of a metallic cap which covers an opening of the base.

- 10 The apparatus may also include a top cover, a base, and a divider disposed between the top cover and base, wherein the base defines a receptacle formed therewithin, which preferably retains the body of liquid in the working orientation of the apparatus.

The top cover and the divider together may define the first chamber and the receptacle and the divider together form the second chamber.

- 15 The first chamber inlet and the second chamber outlet may be formed in the top cover and the first chamber outlet and the second chamber inlet may be formed in the divider, the first chamber outlet and the second chamber inlet may be defined by a single aperture in the divider which communicates the first and second chambers.

The divider may define first and second sections, the first section together with the top cover preferably defining the first chamber.

- 20 The divider may include a plurality of apertures, separated by ribs, which may provide fluid communication from the second chamber to the second chamber outlet formed in the top cover.

The top cover and the base may be formed from a relatively rigid polymer material and the divider may be formed from a relatively resilient material.

- 25 The first chamber inlet may be connected to a blower outlet, the first chamber outlet may be connected to the second chamber inlet, the second chamber outlet may be connected to the patient supply conduit, and a portion of the second chamber below and behind the

second chamber inlet may define a volume thereof greater than a volume of the body of liquid.

A portion of the second chamber between the first chamber inlet and the second chamber inlet and below the second chamber inlet may define a volume thereof greater than the  
5 volume of the body of liquid.

Portions of the first chamber and second chamber between the first chamber inlet and the second chamber outlet may define a volume thereof greater than the volume of the body of liquid.

Another embodiment of the present invention includes a CPAP apparatus including an  
10 apparatus for humidifying breathable gas as described above.

Another embodiment of the present invention includes a humidifier for a CPAP apparatus having a humidifier body defining a fluid reservoir and a fluid passage therein. The humidifier body has first and second chambers with a dividing member therebetween. The dividing member includes an orifice therethrough to communicate the first and  
15 second chambers with one another. Air from a blower (not shown) arrives in the first chamber via a first chamber inlet and departs from the second chamber via a second chamber outlet. The fluid passage includes the inlet, outlet, the orifice, and, at least, portions of the first and second chambers. The humidifier is designed to carry a body of liquid having a maximum volume,  $V_{max}$ . In a working orientation of the humidifier, the  
20 liquid body lies in a bottom portion of the second chamber. With respect to the working orientation of the humidifier the orifice lies forward of and to the side of the inlet. The first and second chambers are configured such that a volume of a first portion of the second chamber, which lies directly beneath the first chamber, is greater than  $V_{max}$ . Additionally, the volume of a second portion of the second chamber, which is disposed to  
25 the side of the first chamber, is greater than  $V_{max}$ . Furthermore, the volume of a portion of the second chamber forward of the inlet plus a portion of the first chamber forward of the inlet is greater than  $V_{max}$ . Additionally, the volume of a portion of the second chamber to the side of the inlet plus a portion of the first chamber to the side of the inlet is greater than  $V_{max}$ .

30 Yet another embodiment of the present invention includes a humidifier for a CPAP apparatus having first and second chambers, wherein an inlet to the humidifier is

communicated with the first chamber, an outlet from the humidifier is communicated with the second chamber, and the first and second chambers are intercommunicated via an orifice extending therebetween. The inlet and orifice are arranged relative to one another such that a level of a volume of liquid present within the humidifier is below at least one  
5 of the inlet and orifice for any orientation of the humidifier.

Although certain embodiments of the invention are illustrated and described herein as having certain features, one skilled in the art would recognize that alternative embodiments of the invention could be provided based on at least one or more features, either individually or in combination, of the illustrated and described embodiments.

10 The benefits of the present invention will be readily appreciated and understood from consideration of the following detailed description of embodiments of this invention, when taken with the accompanying drawings, wherein:

Fig. 1 is a schematic view of a humidifier according to one embodiment of the present invention in a working, upright orientation;

15 Figs. 2-5 are schematic views of the humidifier shown in Fig. 1 in corresponding non-working, upright orientations;

Fig. 6 is a perspective view of a humidifier according to another embodiment of the present invention in a working, upright orientation;

Fig. 7 is an exploded perspective view of the humidifier shown in Fig. 6;

20 Fig. 8 is a partial sectional view of the humidifier shown in Fig. 6;

Fig. 9 is schematic view of the humidifier shown in Fig. 6 showing an air flow path through the humidifier;

Figs. 10-13 are schematic views of the humidifier shown in Fig. 6 in corresponding non-working, upright orientations;

25 Fig. 14 is a perspective view of a humidifier and connecting structure according to another embodiment of the present invention;

Fig. 15 is a perspective view of the connecting structure shown in Fig. 14;

Fig. 16 is a bottom plan view of the humidifier shown in Fig. 14;

Fig. 17 is a rear perspective view of the humidifier and connecting structure shown in Fig. 14;

Fig. 18 is a bottom perspective view of the connecting structure shown in Fig. 14;

5 Fig. 19 is a perspective view of a humidifier and heater according to another embodiment of the present invention;

Fig. 20 is a perspective view of the heater shown in Fig. 19;

Fig. 21 is a bottom view of the humidifier shown in Fig. 19;

Fig. 22 is a cross-sectional view taken along line 23-23 in Fig. 21; and

10 Fig. 23 is a rear perspective view of the humidifier and heater shown in Fig. 19.

Fig. 1 schematically illustrates one embodiment of the humidifier of the present invention, indicated at 10. The humidifier 10 includes a humidifier body 12 defining a fluid reservoir and fluid passage therein. Additionally, there are two chambers 14, 16 defined by the humidifier body 12 and a dividing member 18. The dividing member 18 includes an orifice 20 therethrough to communicate the chambers 14, 16 to one another. 15 Air from a blower (not shown) arrives in the first chamber 16 via a first chamber inlet 22. Air departs from the second chamber 14 via a second chamber outlet 24. The fluid passage includes the inlet 22, outlet 24, the orifice 20, and, at least, portions of the chambers 14, 16. The humidifier 10 is designed to carry a body of liquid 26 having a maximum volume,  $V_{\max}$ . 20

In a working orientation represented in Fig. 1, the liquid body 26 lies in a bottom portion of the second chamber 14. With respect to the orientation of the humidifier 10 depicted in Fig. 1, e.g., the orifice 20 lies forward of and to the side of the first chamber inlet 22 (e.g., at a diagonally opposite end of the chamber 16). As shown, the volume of a first portion 14A of the second chamber 14, which lies directly beneath the first chamber 16, is greater 25 than  $V_{\max}$  due to its relatively increased height. Additionally, the volume of a second portion 14B of the second chamber 14, which is disposed to the side of the first chamber 16, is greater than  $V_{\max}$ . Furthermore, the volume of a portion of the second chamber 14

forward of the inlet 22 plus a portion of the first chamber 16 forward of the inlet 22 is greater than  $V_{\max}$ . Additionally, the volume of a portion of the second chamber 14 to the side of the inlet 22 plus a portion of the first chamber 16 to the side of the inlet 22 is greater than  $V_{\max}$ . Hence, in order to minimize the volume of the humidifier 10, the first chamber inlet 22 is positioned as far to one side of the humidifier body 12 and as far rearward of the humidifier body 12 as possible.

The embodiment of the humidifier 10 shown in Figs. 1-5 is configured to prevent liquid from the liquid body 26 from exiting through the inlet 22 thereof, such as when inadvertently rotated from an upright, normal working position (generally illustrated in Fig. 1). For this reason, it is preferable for the humidifier 10 to be capable of being rotated from the upright, working position by about  $120^\circ$  without allowing liquid to exit from the inlet 22. It is more preferable for the humidifier 10 to be capable of being rotated from the upright, working position by about  $80^\circ$ - $110^\circ$  without allowing liquid to exit from the inlet 22. It is contemplated that for the embodiment of the humidifier 10 shown in Fig. 1, it may be especially preferable for the humidifier 10 to be capable of being rotated from the upright, working position by about  $90^\circ$  without allowing liquid to exit from the inlet 22, since the humidifier 10 is readily able to be placed on one side thereof due to the substantially flat, normal sides thereof. However, of course, it may be desirable for the humidifier 10 to be capable of being rotated more or less than  $90^\circ$ , depending on the particular configuration of the humidifier 10. It is noted that while the humidifier 10 is designed to prevent liquid from exiting the inlet thereof when inadvertently oriented in other than the upright working position, it may be possible to purposefully enable liquid to exit from the inlet, such as by jostling or rapidly and/or repeatedly rotating the humidifier 10. In situations wherein it is highly undesirable for liquid to exit the inlet of the humidifier, the configuration (e.g., volume) of the chambers, size and placement of the inlet and outlet, and size and placement of the aperture intercommunicating the chambers may be altered from the illustrated embodiment to decrease the possibility of liquid exiting the inlet of the humidifier.

As shown in Fig. 2, the arrangement of the chambers 14, 16, inlet 22, and outlet 24 means that, if the humidifier 10 is rotated in a clockwise direction by up to  $90^\circ$  about axis  $\square$ , then the liquid body 26 will accumulate in the second portion 14B of the second chamber 14 and a portion of the first chamber 16 adjacent the outlet 24. In this situation, liquid of the

liquid body 26 may run out of the outlet 24, but will not run out of the inlet 22 back into the blower.

Similarly, as shown in Fig. 3, if the humidifier 10 is rotated in a counter-clockwise direction (relative to the position illustrated in Fig. 1) by up to 90° about axis □, then the liquid body 26 will accumulate in the first portion 14A of the second chamber 14, but will not spill over orifice 20 into the first chamber 16.

As shown in Fig. 4, if the humidifier 10 is rotated in a clockwise direction (relative to the position illustrated in Fig. 1) up to 90° about axis □, then the liquid body 26 will accumulate in a rearward portion of the second chamber 14 but will not spill over orifice 20 into the first chamber 16.

As shown in Fig. 5, if the humidifier 10 is rotated in a counter-clockwise direction (relative to the position illustrated in Fig. 1) up to 90° about axis □, then the liquid body 26 will accumulate in forward portions of the first and second chambers 14, 16 and will not spill back through first chamber inlet 22. Furthermore, liquid of the liquid body 26 will drain out of the humidifier 10 through second chamber outlet 24.

In the embodiment illustrated in Figs. 1-5, the humidifier 10 has an exterior shape that is generally rectangular and the humidifier 10. As illustrated, the inlet 22 is positioned to correspond to a blower outlet being on the upper left-hand side when viewed from the front in an upright position. Therefore the humidifier inlet 22 is positioned at the back of the humidifier 10 on the upper left-hand side, when viewed from the front in an upright position. The humidifier outlet 24 lies on the front upper right-hand side, when viewed from the front in an upright position. However, it is, of course, possible for the inlet and outlet to be repositioned corresponding to the position of the blower outlet.

For each of the orientations of the humidifier 10 shown in Figs. 1-5, the level of the liquid body 26 is always below the level of at least one of the inlet 22 and orifice 20 intercommunicating the first and second chambers 16, 14. In this manner, in a case wherein the inlet 22 is disposed below the level of the liquid body 26 (such as in orientations illustrated in Figs. 3 and 4), the orifice 20 is disposed above the level of the liquid body 26, which prevents liquid from flowing therethrough and exiting the inlet 22. Conversely, in a case wherein the orifice 20 is disposed below the level of the liquid body 26 (such as in orientations illustrated in Figs. 2 and 5), the inlet 22 is disposed above the

level of the liquid body 26. Accordingly, liquid may flow through the orifice 20, but is prevented from exiting through the inlet 22.

Fig. 6 shows another embodiment of a humidifier 30 according to the present invention. The humidifier 30 includes an inlet 32 and an outlet 34, both of which are communicated with an interior of the humidifier 30. The interior of the humidifier 30 defines a reservoir for a body of liquid and a fluid passage. The fluid passage is communicated to each of the inlet 32 and outlet 34 and is configured such that fluid (e.g., breathable gas at an elevated pressure) flowing therethrough is exposed to the body of liquid. Additionally, the humidifier 30 is adapted for detachable connection to an NIPPV or CPAP apparatus (not shown) which includes a blower. When connected, the output of the blower is attached to the inlet 32. Air from the blower enters the inlet 32, flows through the fluid passage, and collects moisture through contact with the liquid body, before continuing on to the outlet 34 and then to the patient.

It is also contemplated that the humidifier 30 may include an additional internal passage to allow monitoring of the CPAP pressure without degrading signal strength or necessitating relatively large correction factors due to signal attenuation within the humidifier, such as described in co-pending Applications incorporated above, as well as co-pending Application No. WO\_\_\_\_\_, entitled "Air Pressure Signal Monitoring in Apparatus for Treating Sleep Disordered Breathing", filed on even date herewith and hereby incorporated by reference in its entirety.

As shown in Fig. 7, the humidifier 30 includes a top cover 36, a gasket 38, and a base 40. The gasket 38 is disposed between the top cover 36 and base 40, which are secured together via sliding clips 72. (See Fig. 6.) Of course, other suitable fastening arrangements and constructions are possible. For example, the top cover 36 and base 40 may be formed with snap-fit or other cooperating constructions. Alternatively, other types of mechanical fasteners may be utilized. It is contemplated that the top cover 36 may be formed from a relatively rigid polymer material, such as polysulfone (for example, grade UDEL P1700, manufactured by BP Amoco Polymers), and includes the inlet 32 and the outlet 34. The gasket 38 may be formed from a relatively resilient material, such as silicone rubber (for example, SILASTIC 94-595-IIC, manufactured by Dow Corning) and is divided into first and second sections 42 and 44 by a channel structure 46. The first section 42 includes a raised portion 48 having a first aperture 50

extending vertically therethrough. The second section 44 includes a plurality of second apertures 52 extending vertically therethrough and being separated from one another by ribs 54. The top cover 36 may also include a divider wall structure 56 (Fig. 8) which corresponds to and is received within the channel structure 46 of the gasket 38. The gasket 38 includes a sealing flange 58 formed about a periphery thereof. The base 40 may be formed from the same or similar rigid polymer material as the top cover 36 and may include a receptacle 60 formed therewithin, a bottom portion 62, and side walls 64 extending upwardly from the bottom portion 62. The base 40 may also include a removable bridge structure 66, which divides the receptacle 60 into two sections 68 and 70, which correspond to the sections 22 and 24 of the gasket 38.

As shown in Fig. 8, to assemble the humidifier 30, the gasket 38 is attached to the base 40. The flange 58 of the gasket 38 forms a sealing engagement with an upper edge portion of the side walls 64 of the base 40. The top cover 36 is then attached to the base 40 via sliding clips 72 (Fig. 6) on opposite sides of the humidifier 30, such that the top cover 36 covers and seals with the gasket 38. The removable bridge structure 66 vertically supports an intermediate portion of the gasket 38. As shown, a downwardly facing surface of the channel structure 46 of the gasket 38 engages an upwardly facing surface of the bridge structure 66. When assembled, the gasket first section 42, the top cover 36, and the divider wall structure of the top cover 36 together form a first chamber 74. The receptacle 60 of the base 40 together with the gasket 38 form a second chamber 76. The first chamber 74 is thus located above the second chamber 76 and the volume of the second chamber 76 is larger than the volume of the first chamber 74. The first and second chambers 74, 76 are in communication with one another via the first aperture 50 within the gasket 38. The second chamber 76 is in communication with the outlet 34 via the second apertures 52 within the gasket 38.

In use, a predetermined maximum volume of liquid is poured into the receptacle 60 of the base 40 after removing the top cover 36 and the sealing gasket 38 from the base 40. The top cover 36 and the sealing gasket 38 are then reattached to the base 40. As shown in Fig. 9, a body of liquid 78 is held in the second chamber 76 when the humidifier 30 is in the upright working orientation of the humidifier 30. Breathable gas from the blower enters the inlet 32 and travels through the first chamber 74 and into the first aperture 50. The gas passes through the aperture 50 and enters the second chamber 76 where it is

humidified by contact with the body of liquid 78, before exiting through apertures 52 in the gasket 38, and then out through outlet 34 (Fig. 6).

In the working upright orientation of the humidifier 30, as shown in Fig. 9, a liquid level, indicated at 81, of the body of liquid 78 is below the aperture 50. Thus, liquid from the body of liquid 78 cannot exit via the inlet 32 and there is no risk of damaging the electronic components of the NIPPV or CPAP apparatus. The body of liquid 78, however, will be displaced in the humidifier 30 according to the orientation of the humidifier 30. Accordingly, the humidifier 30 is configured to substantially prevent liquid of the body of liquid 78 from exiting through the inlet 32 in non-upright orientations to avoid damage to the NIPPV or CPAP apparatus connected to the humidifier 30.

Similarly as with the embodiment illustrated in Figs. 1-5, the embodiment of the humidifier 30 shown in Figs. 6-13 is configured to prevent liquid from the liquid body 78 from exiting through the inlet 32 thereof, such as when inadvertently rotated from an upright, normal working position (generally illustrated in Fig. 6). For this reason, it is preferable for the humidifier 30 to be capable of being rotated from the upright, working position by about 120° without allowing liquid to exit from the inlet 32. It is more preferable for the humidifier 30 to be capable of being rotated from the upright, working position by about 80°-110° without allowing liquid to exit from the inlet 32. It may be especially preferable for the humidifier 30 to be capable of being rotated from the upright, working position by about 90° without allowing liquid to exit from the inlet 32. However, of course, it may be desirable for the humidifier 30 to be capable of being rotated more or less than 90°. It is noted that while the humidifier 30 is designed to prevent liquid from exiting the inlet thereof when inadvertently oriented in other than the upright working position, it may be possible to purposefully enable liquid to exit from the inlet, such as by jostling or rapidly and/or repeatedly rotating the humidifier 30. In situations wherein it is highly undesirable for liquid to exit the inlet of the humidifier, the configuration (e.g., volume) of the chambers, size and placement of the inlet and outlet, and size and placement of the aperture intercommunicating the chambers may be altered from the illustrated embodiment to decrease the possibility of liquid exiting the inlet of the humidifier.

For each of the orientations of the humidifier 30 shown in Figs. 10-13, the level of the liquid body 78 is always below the level of at least one of the inlet 32 and aperture 50 intercommunicating the first and second chambers 74, 76. In this manner, in a case wherein the inlet 32 is disposed below the level of the liquid body 78 (such as in orientations illustrated in Figs. 10 and 12), the aperture 50 is disposed above the level of the liquid body 78, which prevents liquid from flowing therethrough and exiting the inlet 32. Conversely, in a case wherein the aperture 50 is disposed below the level of the liquid body 78 (such as in orientations illustrated in Figs. 11 and 13), the inlet 32 is disposed above the level of the liquid body 78. Accordingly, liquid may flow through the aperture 50, but is prevented from exiting through the inlet 32.

In Fig. 10, the humidifier 30 is rotated to an angle about 90° from the working upright orientation, such that a side 80 thereof corresponding to the side of the humidifier 30 adjacent the inlet 32, is oriented below a side 82 thereof corresponding to the side of the humidifier 30 adjacent the outlet 34. Because the raised portion 48 of the gasket 38 increases the volume of the second chamber 76, the body of liquid 78 remains only in the second chamber 76 and the level 81 of the liquid body 78 remains below the first aperture 50. Thus, the liquid will not exit through the inlet 32.

In Fig. 11, the humidifier 30 is rotated to an angle about 90° from the working upright orientation, such that the side 82 is below the side 80 (i.e., flipped 180° from the orientation illustrated in Fig. 11). As the level 81 of the body of liquid 78 is above (at least initially) the apertures 52, liquid will pass therethrough and exit the outlet 34. However, since the level 81 of the liquid body 78 is below the inlet 32, liquid will not exit through the inlet 32. Liquid exiting through the outlet 34 is generally acceptable as there is not generally a risk in damaging the NIPPV or CPAP apparatus.

In Fig. 12, the humidifier 30 is rotated to an angle about 90° from the working upright orientation, such that a rear side thereof, indicated at 84, corresponding to the side at which the inlet 32 is located, is below a forward side thereof, indicated at 86, corresponding to the side at which the outlet 34 is located. As shown, the body of liquid 78 remains substantially in the second chamber 76 and the level 81 of the liquid body 78 remains below the first aperture 50. Thus, water cannot exit through the inlet 32.

Fig. 13 illustrates when the humidifier 30 is tilted to an angle about 90° from the working upright orientation, such that the forward side 86 is below the rear side 84. As shown, the body of liquid 78 is disposed within forward portions of the first and second chambers 74, 76. As the level 81 of the body of liquid 78 is at least initially above the level of the aperture 50, liquid will flow through the aperture 50 into the first chamber 74. However, since the inlet 32 is disposed above the level of the body of liquid 78 in this orientation, no liquid exits through the inlet 34.

The humidifier 30 thus ensures that the body of liquid 78 is disposed in one of (a) only the second chamber 76, or (b) portions of the first and second chambers 74, 76 at a level below the inlet 32, to prevent liquid from exiting through the inlet 32 at orientations of the humidifier 30 up to an angle of about 90° from the working upright orientation. In the illustrated embodiment, a number of features of the humidifier 30 contribute to ensuring this function. These include relative positions of the inlet 32 and first aperture 50. More particularly, the inlet 32 and first aperture 50 are located on opposing ends of the first chamber 74. Also, the volume of the second chamber 76 is larger than the volume of the first chamber 74, which is assisted by the raised portion 48 of the gasket 38 so that liquid displaced from the first chamber 74 may be accommodated within the second chamber 76 without overflow through aperture 50. Furthermore, the outlet 34 is located closer to the first aperture 50 than the inlet 32, which assists in ensuring that liquid will exit via the outlet 34, rather than through the inlet 32.

The humidifier 30 therefore substantially prevents or reduces the risk of water exiting through the inlet 32, which may damage the NIPPV or CPAP apparatus, when the humidifier 30 is in other orientations up to an angle of about 90° from its working upright orientation.

It is contemplated that the humidifier 30 may be used as a retrofit or add-on component for a CPAP apparatus. To facilitate this usage, it may be preferable to provide a connecting structure 100 that is configured to connect between the CPAP apparatus and humidifier 30. As shown in Figs. 14 and 15, the connecting structure 100 includes a housing 102, which provides a generally horizontally extending receptacle 104 within which the humidifier 30 may be disposed. The housing 102 provides a base portion 106 that is configured to support the humidifier 30 thereon and a retaining portion 108

configured to secure the humidifier 30 in position. As shown in Fig. 16, the retaining portion 108 extends generally parallel to the base portion 106 and is spaced above the base portion 106. Referring back to Fig. 14, the humidifier 30 may be formed with a recess 110 that is open and of a complimentary shape to receive the retaining portion 108 therein.

To facilitate connection of the humidifier 30 to the connecting structure 100, it is contemplated that another embodiment of a humidifier, indicated at 120 in Fig. 16, may include a securing mechanism 122. As shown, the securing mechanism 122 includes a resiliently biased pull member 124 that includes one or more locking lugs 126 extending generally downwardly therefrom. The pull member 124 is disposed at a forward end (assuming the rearward end of the humidifier 120 is adjacent the connecting structure 100) of the humidifier 120 and is resiliently biased by a pair of resilient legs 128. Rearward portions of the legs 128 are relatively securely retained within corresponding pocket structures 130 provided on a bottom side of the humidifier 120. Ribs 132 extend downwardly from the bottom side of the humidifier 120 and engage an intermediary portion of the legs 128 to define a space between the resilient legs 128 and the bottom side of the humidifier 120. In this manner, the pull member 124 is biased generally downwardly by the resilient legs 128, but may be manually moved (e.g., pulled) upward against a resilient bias of the legs 128.

As shown in Fig. 15, a forward portion of the base portion 106 includes generally upwardly open lug receiving recesses 134 within which the lugs 126 may be disposed when the humidifier 120 is disposed within the receptacle 104. As the humidifier 120 is inserted within receptacle 104, the legs 128 resiliently bias the lugs 126 into recesses 134. The lugs 126 and recesses 134 thereby secure the humidifier 120 within the receptacle 104. To remove the humidifier 120 from the receptacle 104, the pull member 124 is pulled upwardly to withdraw the lugs 126 from the recesses 134. The humidifier 120 may then be pulled generally horizontally out of the receptacle 104.

Fig. 17 shows a rearward side of the connecting structure 100. The rearward side of the connecting structure 100 provides a retaining mechanism 140 to secure the connecting structure 100 to the CPAP apparatus. It is contemplated that the retaining mechanism 140 may include a series of apertures 142 within the rearward portion of the housing 102. The apertures 142 may receive therein, for example, prongs or tabs (not shown) provided by

the CPAP apparatus. As shown in Fig. 18, within each aperture 142, a locking member 144 may be provided that is resiliently biased toward a position that partially encloses the respective aperture 142. As also shown in Fig. 18, a button structure 146 may be coupled to the locking members 144, such that manual movement of the button structure 146  
5 moves the locking members 144 out of their biased positions to substantially fully open the apertures 142. It is contemplated that the tabs or prongs on the CPAP apparatus are provided with a groove therein such that when positioned within the apertures 142, the locking members 144 engage within respective grooves to thereby securely and detachably retain the connecting structure 100 to the CPAP apparatus.

10 Referring back to Fig. 17, the housing 102 of the connecting structure 100 may be provided with an opening 148 that allows the inlet of the humidifier to extend therethrough so as to be connected to the CPAP apparatus.

In certain circumstances, it may be desirable to provide heated humid air to the respirator mask. Accordingly, another embodiment of the connecting structure, indicated at 150 in  
15 Fig. 19, may include a heater 152. The connecting structure 150 may include a housing 154, which provides a base portion 156 and retaining portion 158, similar to the housing 102 described above. As shown in Fig. 19, the retaining portion 158 may include a controller such as a knob or other selecting device 160 thereon to control a heat setting of the heater 152. It is also contemplated that the controller 160 may include a display  
20 device, such as an LCD screen.

As shown in Fig. 20, the base portion 156 may include a heating element 162 thereon. The heating element 162 may be in the form of a substantially flat plate-like resistance heater, which heat generated thereby may be directly controlled by the controller 160. As shown in Fig. 19, another embodiment of the humidifier is indicated at 170. The  
25 humidifier 170 is disposed within a receptacle 172 provided by the housing 154. It is contemplated that the humidifier 170 has the same basic construction as the humidifiers 10 and 120 described above. However, it is contemplated that the humidifier 170 may include a heating plate 174 to facilitate heating of the liquid contained therein. In particular, an opening 176 is provided within a bottom wall 178 of the humidifier 170.  
30 The heating plate 174 is shaped to fit within the opening 176, as shown in Fig. 21. As shown in more detail in Fig. 22, the heating plate 174 includes an upstanding peripheral wall 180 which includes an outwardly extending peripheral lip 182. A resilient seal

member 184 is disposed about an outer periphery of the peripheral wall 180 in contact with the peripheral lip 182. A ring-like retaining member 186 may be press-fit onto the peripheral wall 180 to retain the seal 184 in position on the peripheral wall 180. The retaining member 186 includes an outwardly extending flange structure 188. The seal  
5 184 is disposed between the peripheral lip 182 and flange structure 188. It is contemplated that the retaining member 186 may be press fit onto the heating plate 174, as described above, or may be formed in one piece therewith. The bottom wall 178 of the humidifier 170 is formed with an annular upstanding flange 190 which receives the heating plate 174. It is contemplated that the flange 190 may be slightly tapered inwardly  
10 in the upward direction to ease insertion of the heating plate 174. As shown, the flange 190 may include a generally horizontally extending lip structure 192 that vertically retains the heating plate 174.

Referring to Fig. 19, with the humidifier 170 in position within the receptacle 172, a bottom surface of the heating plate 174 is in contact with an upper surface of the heating  
15 element 162. In this manner, a heat generated by the heating element 162 is conductively transferred to the heating plate 174. The liquid within the humidifier 170 is exposed to an upper surface of the heating plate 174 and conducts heat therefrom. It is contemplated that a temperature of the liquid within the humidifier 170 may be controlled by manipulation of the controller 160.

20 It is also contemplated that the heating element 162 may be upwardly resiliently biased to ensure adequate contact between the heating element 162 and the heating plate 174.

As shown in Fig. 23, a rearward portion of the connecting structure 150 may include a plurality of generally outwardly extending contact elements 194. It is contemplated that the contact element 194 may communicate with a power supply within the CPAP  
25 apparatus and/or a controller and/or sensors. In this manner, power may be delivered to the heater 152 directly from the CPAP apparatus. Additionally, a controller within the CPAP apparatus itself may control the heater 152. Furthermore, it is contemplated that sensors within the CPAP apparatus may monitor a heat output of the heater 152. Moreover, it may be possible for a CPAP apparatus to automatically adjust a heat output  
30 of the heater 152 based on a measured temperature thereof or of the water within the humidifier or of the breathable air exiting the humidifier.

**CLAIMS**

1. An apparatus (10, 30, 120, 170) for humidifying breathable gas comprising:  
at least one humidifier part (12, 18, 36, 38, 40) configured to retain a body of liquid therein and defining at least one chamber (14, 16, 74, 76) therein;  
5 an inlet (22, 32) communicated with an interior of the at least one humidifier part (12, 18, 36, 38, 40) and connectable to a blower outlet; and  
an outlet (24, 34) communicated with the interior of the at least one humidifier part (12, 18, 36, 38, 40) and connectable to a patient supply conduit,  
wherein the at least one chamber (14, 16, 74, 76) is arranged such that liquid  
10 from the body of liquid is prevented from exiting the at least one humidifier part (12, 18, 36, 38, 40) through the inlet (22, 32) thereof when the at least one humidifier part (12, 18, 36, 38, 40) is rotated from a working, upright orientation.
2. The apparatus (10, 30, 120, 170) according to claim 1, configured such that liquid from the body of liquid is prevented from exiting the at least one humidifier part  
15 (12, 18, 36, 38, 40) through the inlet (22, 32) thereof when the at least one humidifier part (12, 18, 36, 38, 40) is rotated from a working, upright orientation by about 120° and, preferably when the at least one humidifier part (12, 18, 36, 38, 40) is rotated from a working, upright orientation by between 80 and 110° and, more preferably when the at least one humidifier part (12, 18, 36, 38, 40) is rotated from a working, upright orientation  
20 by about 90°.
3. The apparatus (10, 30, 120, 170) according to claim 1 or 2, wherein the at least one chamber includes a first chamber (16, 74) having an inlet (22, 32) and an outlet (20, 50), the first chamber inlet (22, 32) being connectable to a blower outlet, and a second chamber (14, 76) having an inlet (20, 50) connected to the first chamber outlet (20, 50),  
25 and an outlet (24, 34) connectable to the patient supply conduit, the second chamber (14, 76) having the carrying capacity for the body of liquid, wherein  
when the at least one humidifier part (12, 18, 36, 38, 40) is disposed in the working upright orientation, the body of liquid is contained in the second chamber, and  
relative positions of the first chamber inlet (22, 32) and outlet (20, 50) and  
30 volumes of the first and second chambers (16, 74, 14, 76) are adapted such that, in use, in orientations of the apparatus (10, 30, 120, 170) of up to about 90° from the working

upright orientation, the body of liquid is retained in either (a) the second chamber (14, 76), or (b) the first and second chambers (16, 74, 16, 74) at a level therewithin below a level of the first chamber inlet (20, 50).

4. The apparatus (10, 30, 120, 170) according to claim 3, wherein a volume of the  
5 second chamber (14, 76) is larger than a volume of the first chamber (16, 74).

5. The apparatus (10, 30, 120, 170) according to claims 3 or 4, wherein the first chamber (16, 74) is located substantially above the second chamber (14, 76) in the working upright orientation of the apparatus (10, 30, 120, 170).

6. The apparatus (10, 30, 120, 170) according to any of claims 3-5, wherein the first  
10 chamber inlet (22, 32) and outlet (20, 50) are diagonally opposed across the first chamber (16, 74).

7. The apparatus (10, 30, 120, 170) according to any of claims 3-6, wherein the second chamber outlet (24, 34) is located closer to the first chamber outlet (20, 50) than the first chamber inlet (22, 32).

8. The apparatus (10, 30, 120, 170) according to any of claims 3-7, wherein at least  
15 a portion of a base (62, 178) of the second chamber (14, 76) is made of a heat conducting material.

9. The apparatus (10, 30, 120, 170) according to claim 8, wherein the heat  
20 conductive portion is in the form of a metallic cap (174) which covers an opening (176) of the base (62, 178).

10. The apparatus (10, 30, 120, 170) according to any of claims 3-9, wherein the at  
least one humidifier part (12, 18, 36, 38, 40) includes a top cover (36), a base (40), and a divider (18, 38) disposed between the top cover (36) and base (40), wherein the base (40) defines a receptacle (60) formed therewithin, which retains the body of liquid in the  
25 working orientation of the apparatus (10, 30, 120, 170).

11. The apparatus (10, 30, 120, 170) according to claim 10, wherein the top cover (36) and the divider (18, 38) together define the first chamber (16, 74) and the receptacle (60) and the divider (18, 38) together form the second chamber (14, 76).

12. The apparatus (10, 30, 120, 170) according to claim 11, wherein the first chamber inlet (22, 32) and the second chamber outlet (24, 34) are formed in the top cover (36) and the first chamber outlet (20, 50) and the second chamber inlet (20, 50) are formed in the divider (18, 38), the first chamber outlet (20, 50) and the second chamber inlet (20, 50) being defined by a single aperture (20, 50) in the divider (18, 38) which communicates the first and second chambers (16, 74, 14, 76).

13. The apparatus (10, 30, 120, 170) according to any of claims 10-12, wherein the divider (18, 38) defines first and second sections (42, 44), the first section (44) together with the top cover (36) defining the first chamber (74).

14. The apparatus (10, 30, 120, 170) according to any of claims 10-13, wherein the divider (18, 38) includes a plurality of apertures (52), separated by ribs (54), which provide fluid communication from the second chamber (14, 76) to the second chamber outlet (24, 34) formed in the top cover (36).

15. The apparatus (10, 30, 120, 170) according to any of claims 10-14, wherein the top cover (36) and the base (40) are formed from a relatively rigid polymer material and the divider (18, 38) is formed from a relatively resilient material.

16. The apparatus (10, 30, 120, 170) according to any of claims 3-15, wherein (i) the first chamber inlet (22, 32) is connected to a blower outlet, (ii) the first chamber outlet (20, 50) is connected to the second chamber inlet (20, 50), (iii) the second chamber outlet (24, 34) is connected to the patient supply conduit, and (iv) a portion of the second chamber (14, 76) below and behind the second chamber inlet (20, 50) defines a volume thereof greater than a volume of the body of liquid.

17. The apparatus (10, 30, 120, 170) according to any of claims 3-16, wherein a portion of the second chamber (14, 76) between the first chamber inlet (22, 32) and the second chamber inlet (20, 50) and below the second chamber inlet (20, 50) defines a volume thereof greater than the volume of the body of liquid.

18. The apparatus (10, 30, 120, 170) according to any of claims 3-16, wherein portions of the first chamber (16, 74) and second chamber (14, 76) between the first chamber inlet (22, 32) and the second chamber outlet (24, 34) define a volume thereof greater than the volume of the body of liquid.

19. A CPAP apparatus including an apparatus (10, 30, 120, 170) for humidifying breathable gas according to any of claim 1-18.

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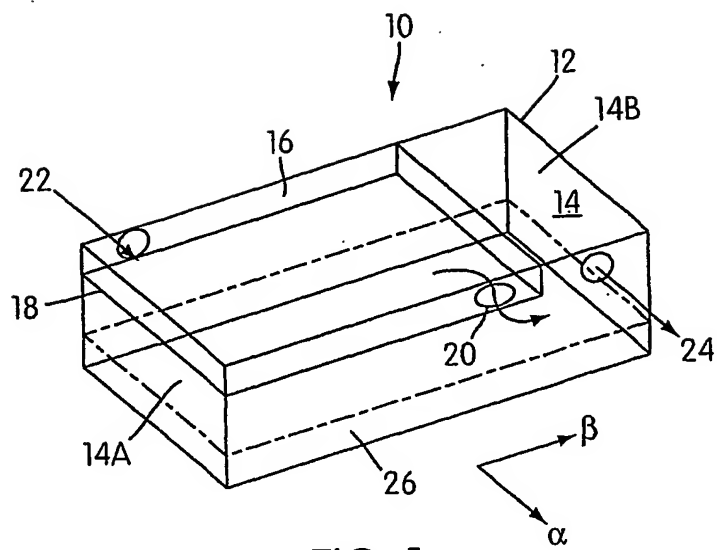


FIG. 1

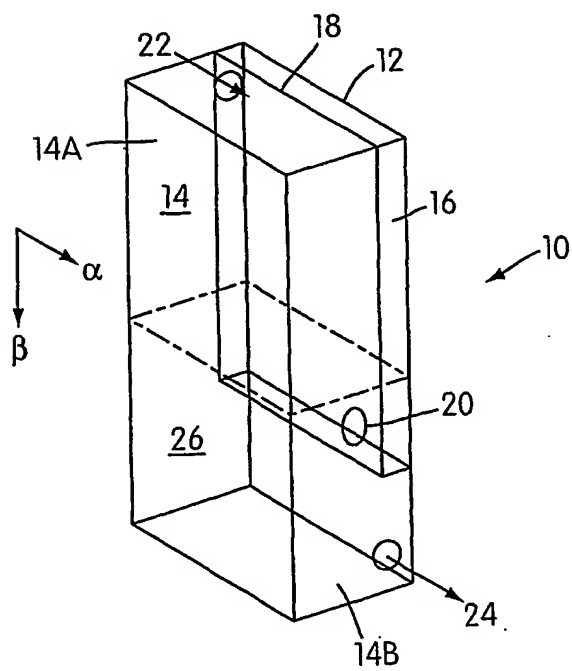


FIG. 2

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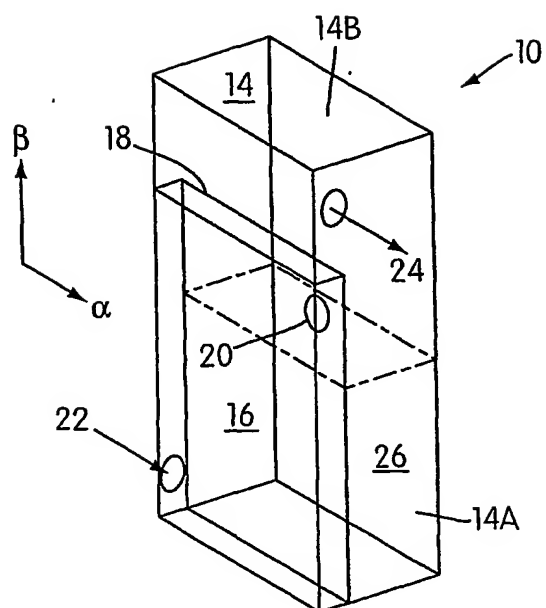


FIG. 3

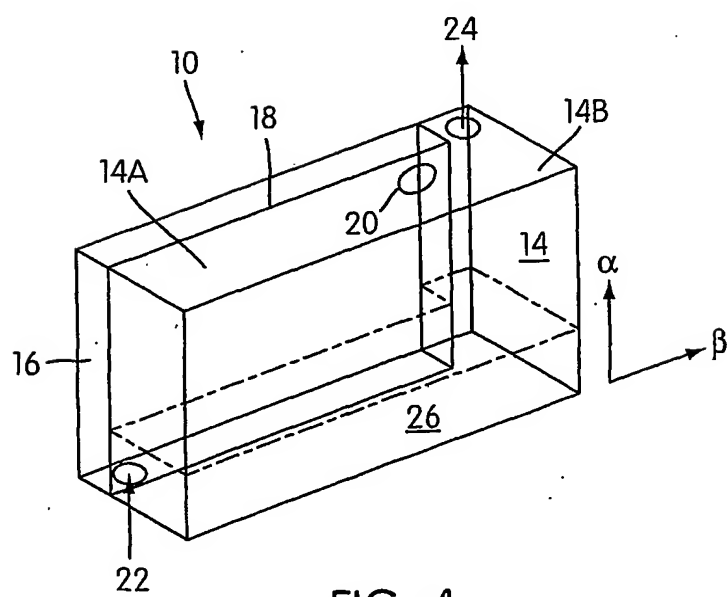


FIG. 4

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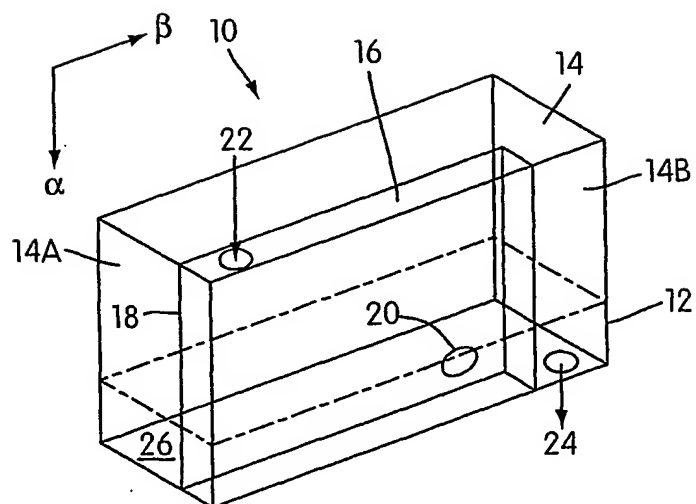


FIG. 5

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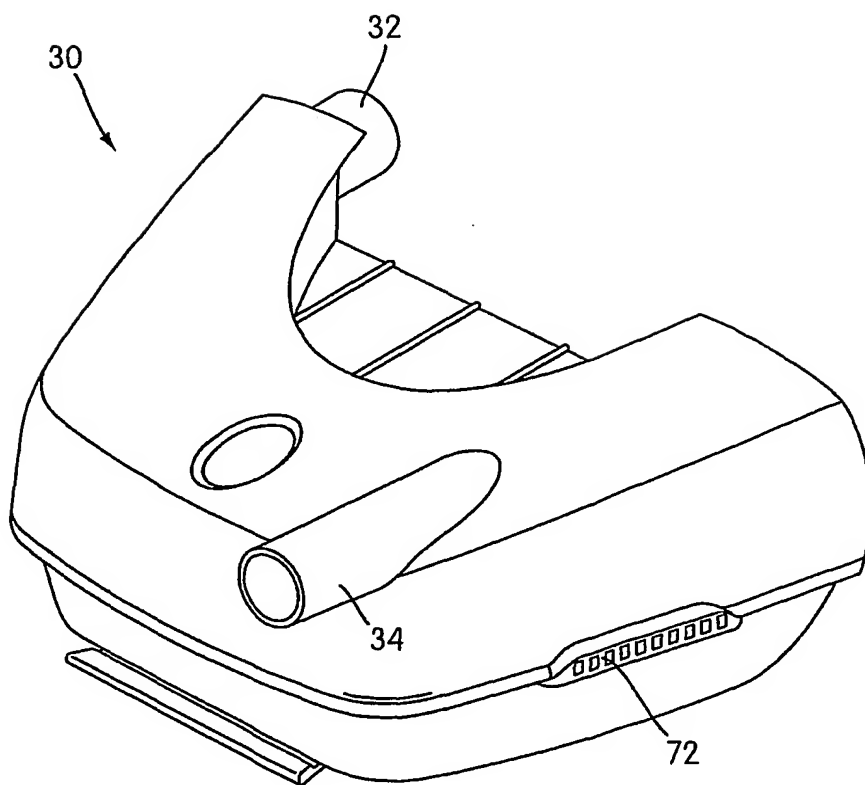


FIG. 6

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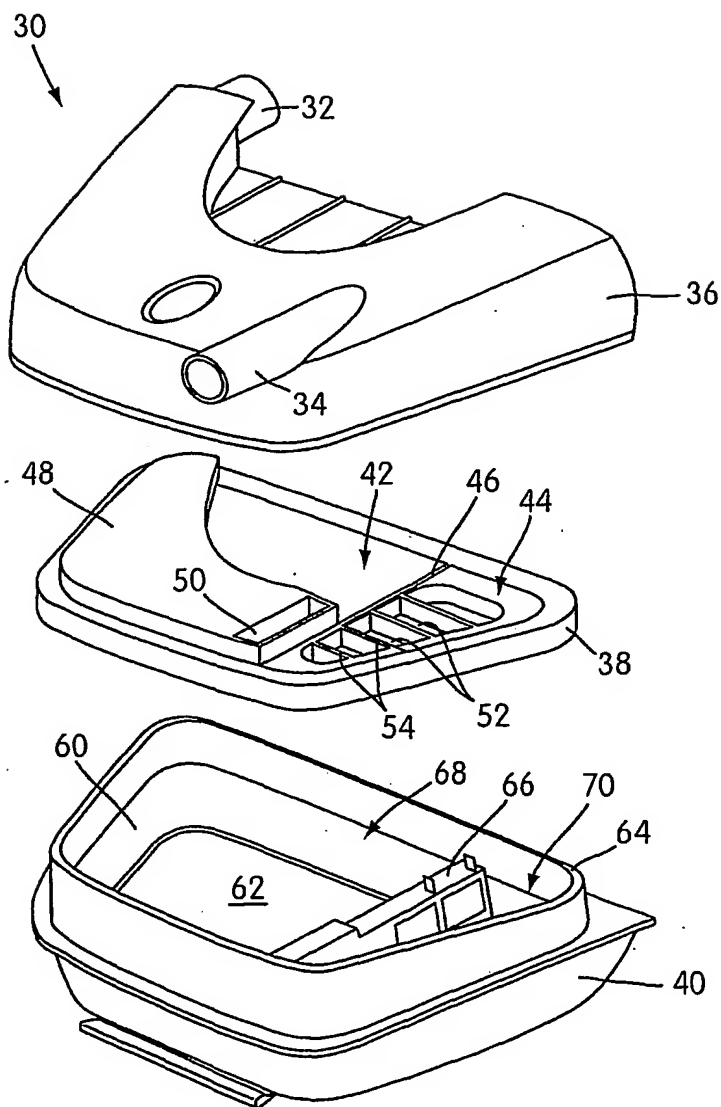


FIG. 7

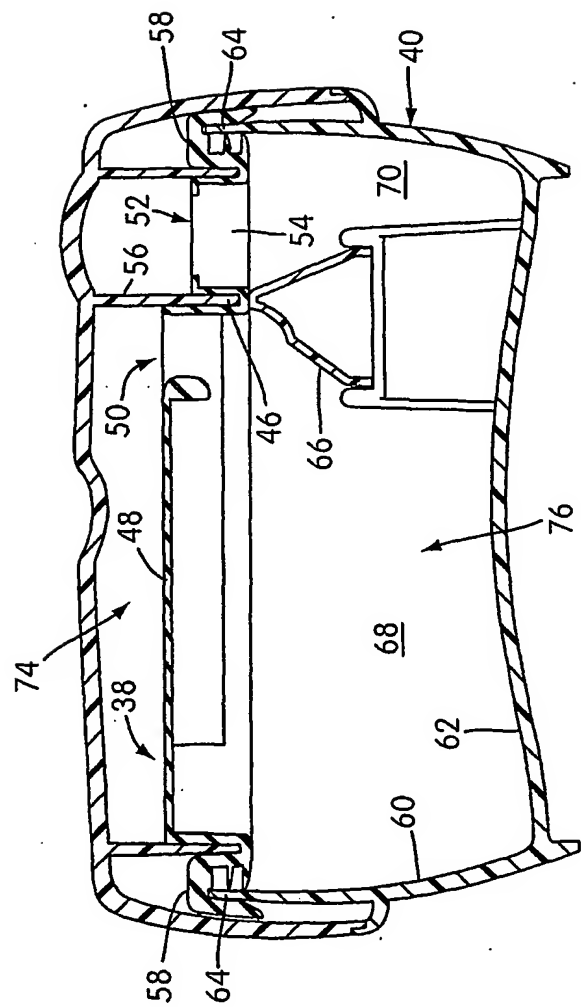


FIG. 8

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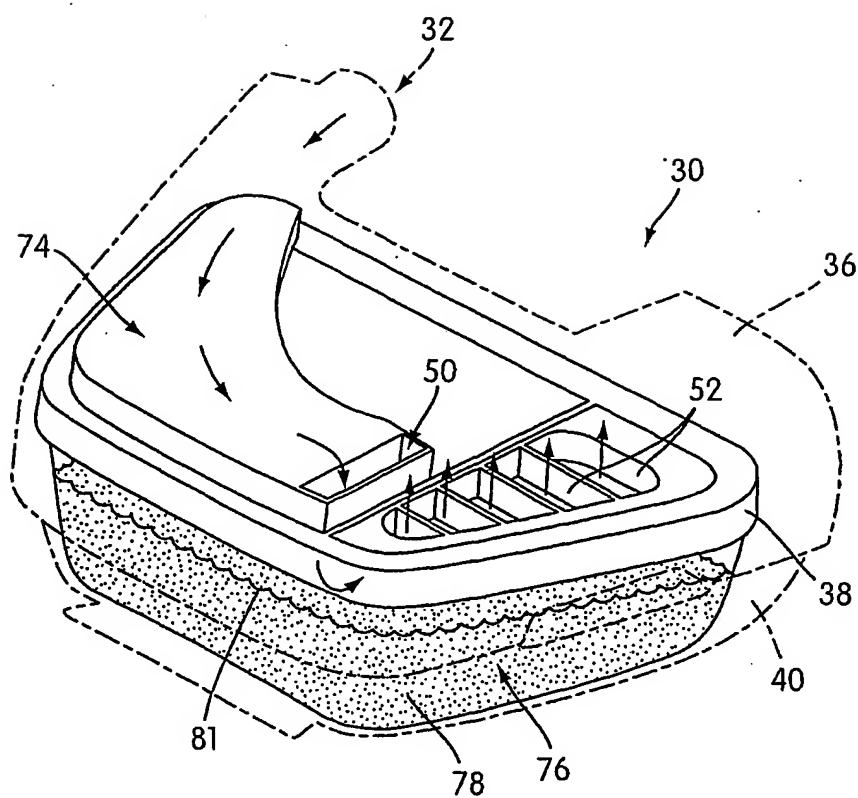


FIG. 9

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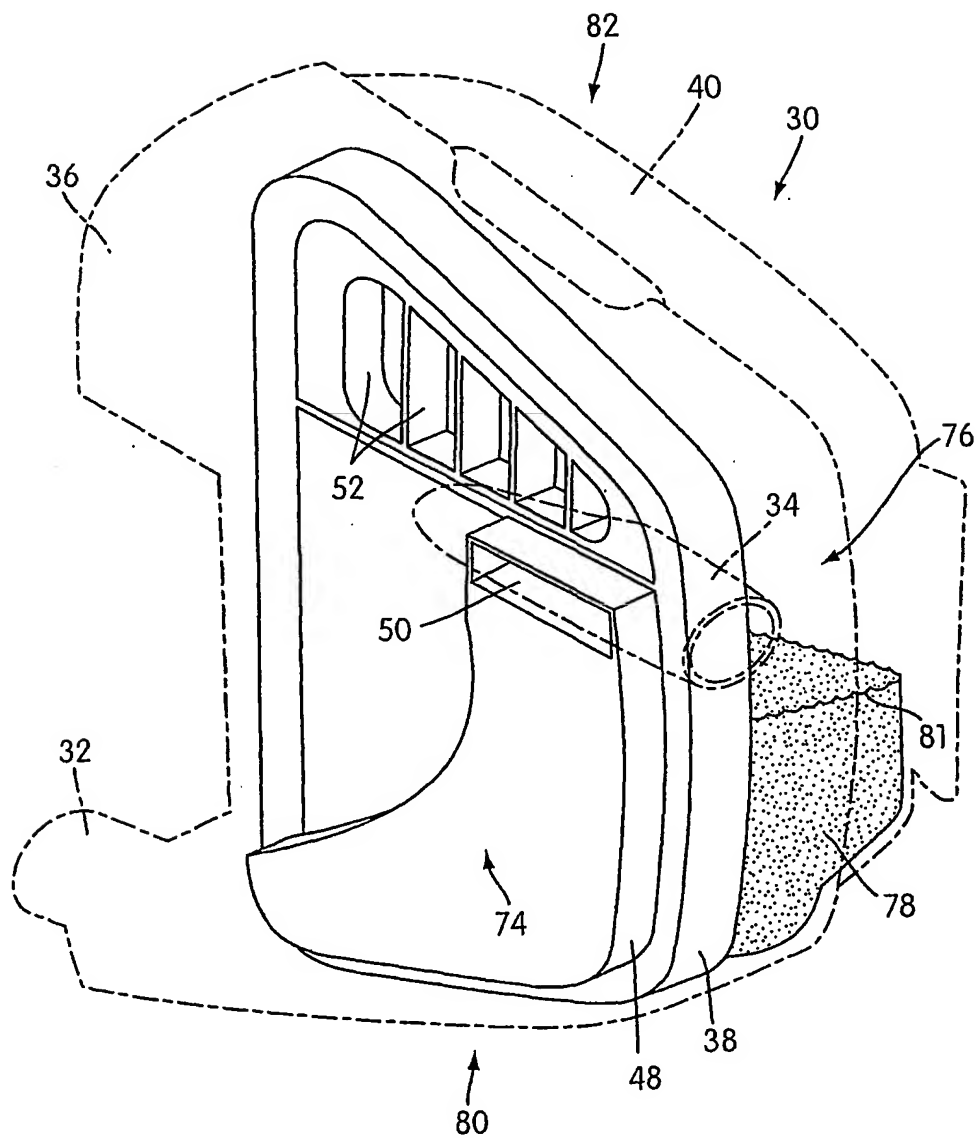


FIG. 10

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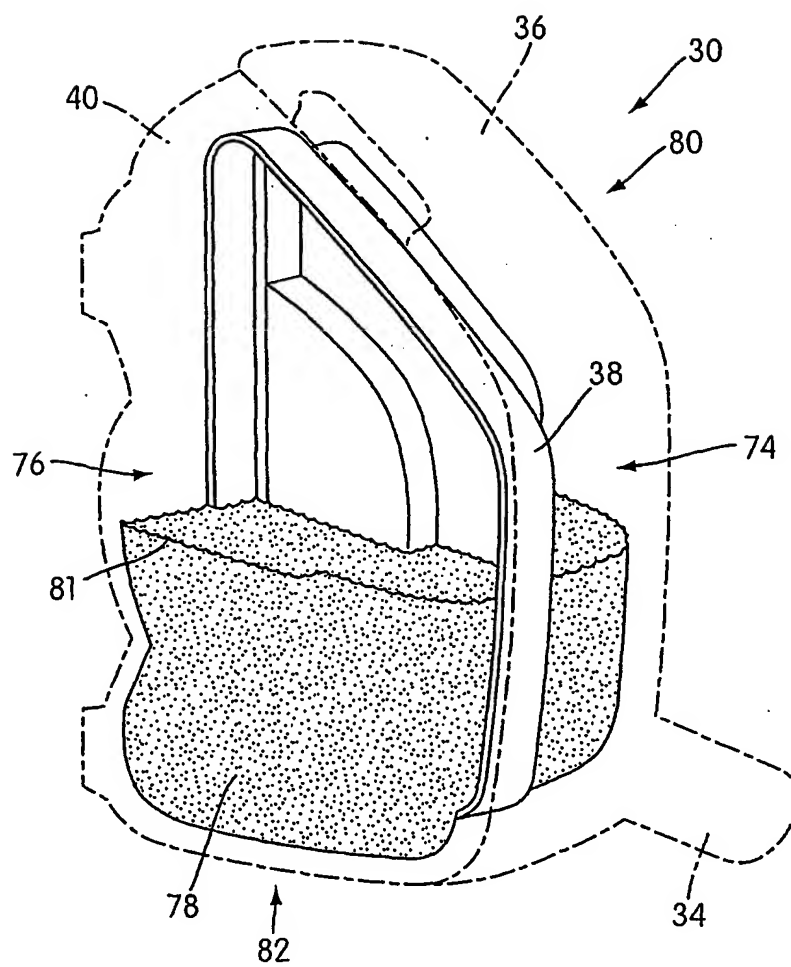
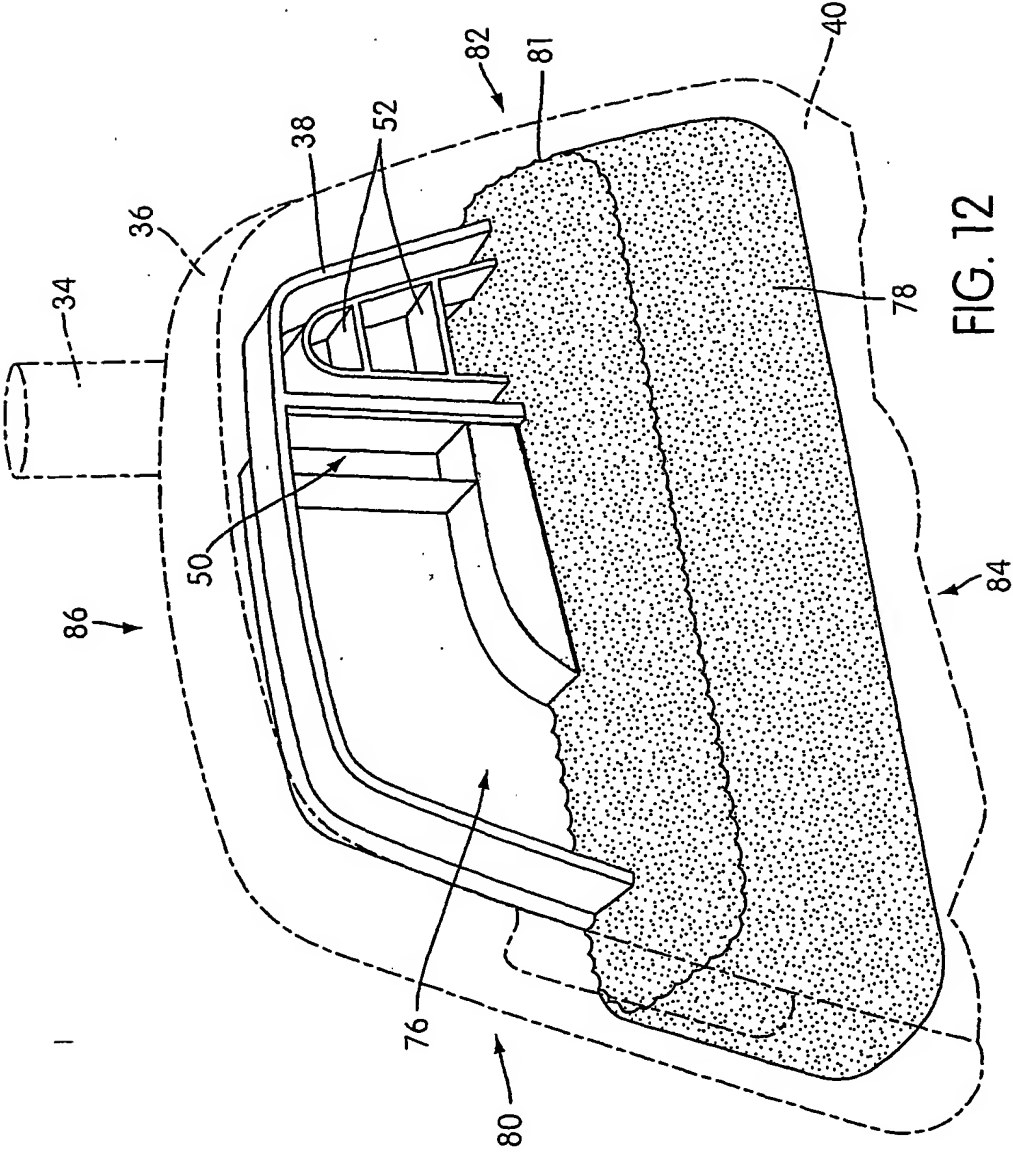
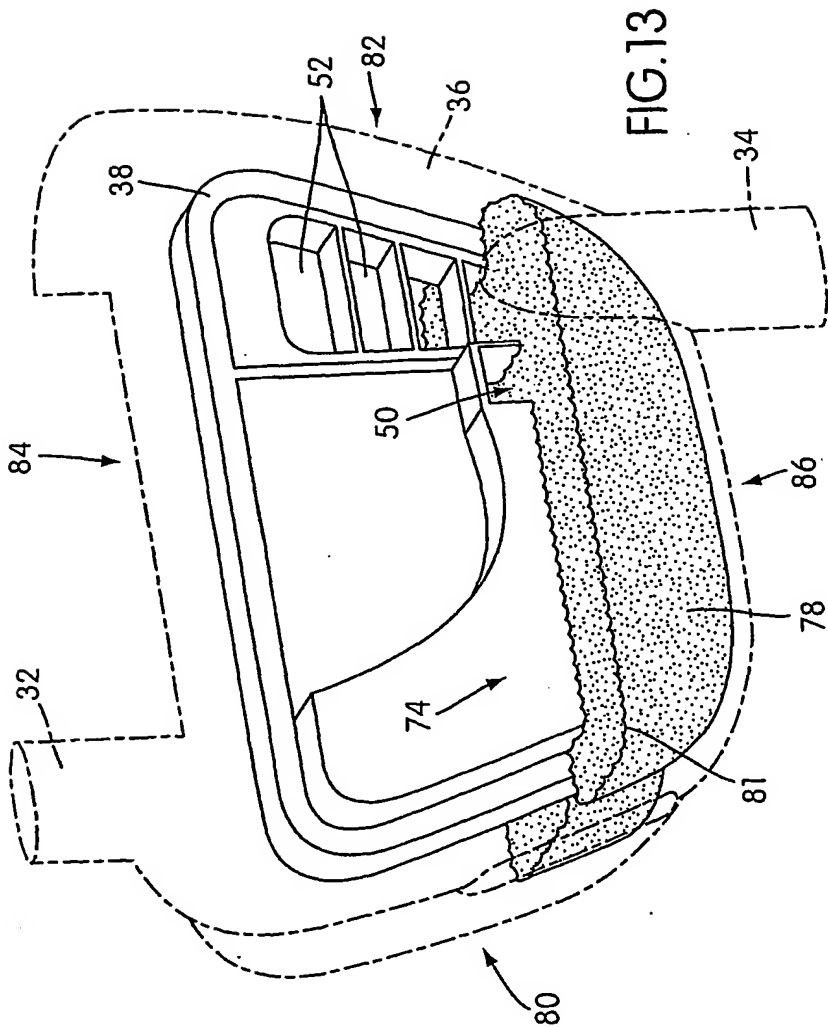
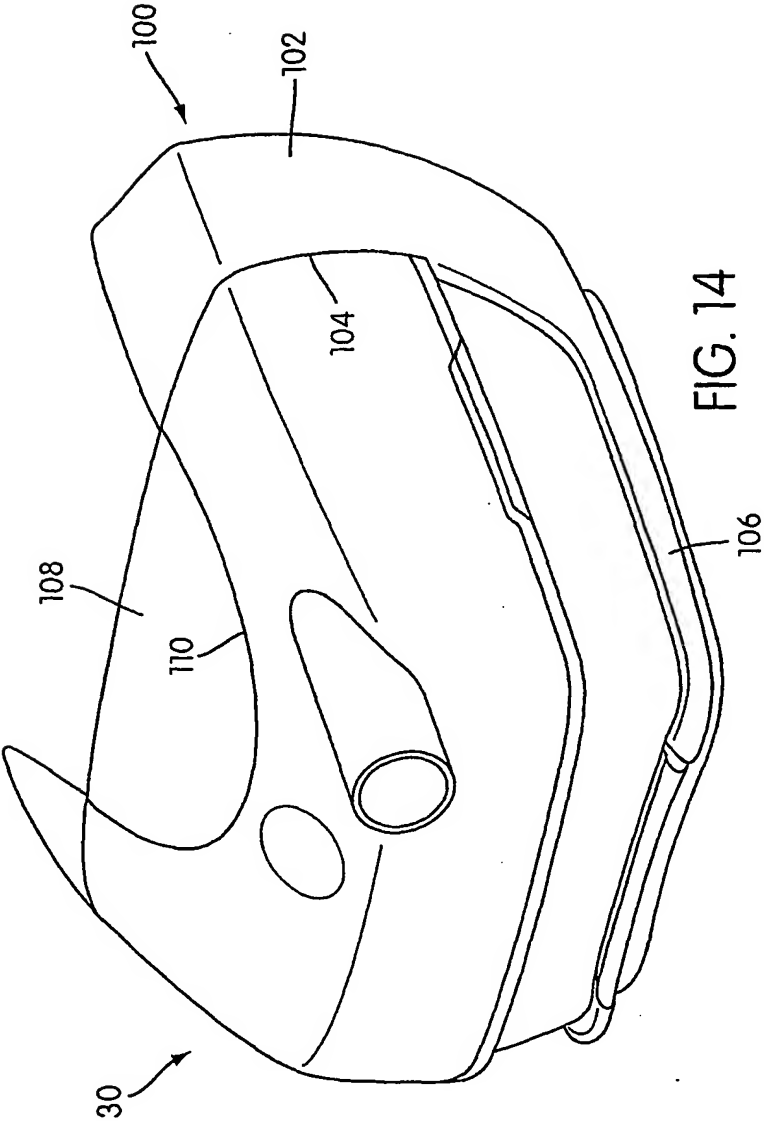


FIG. 11





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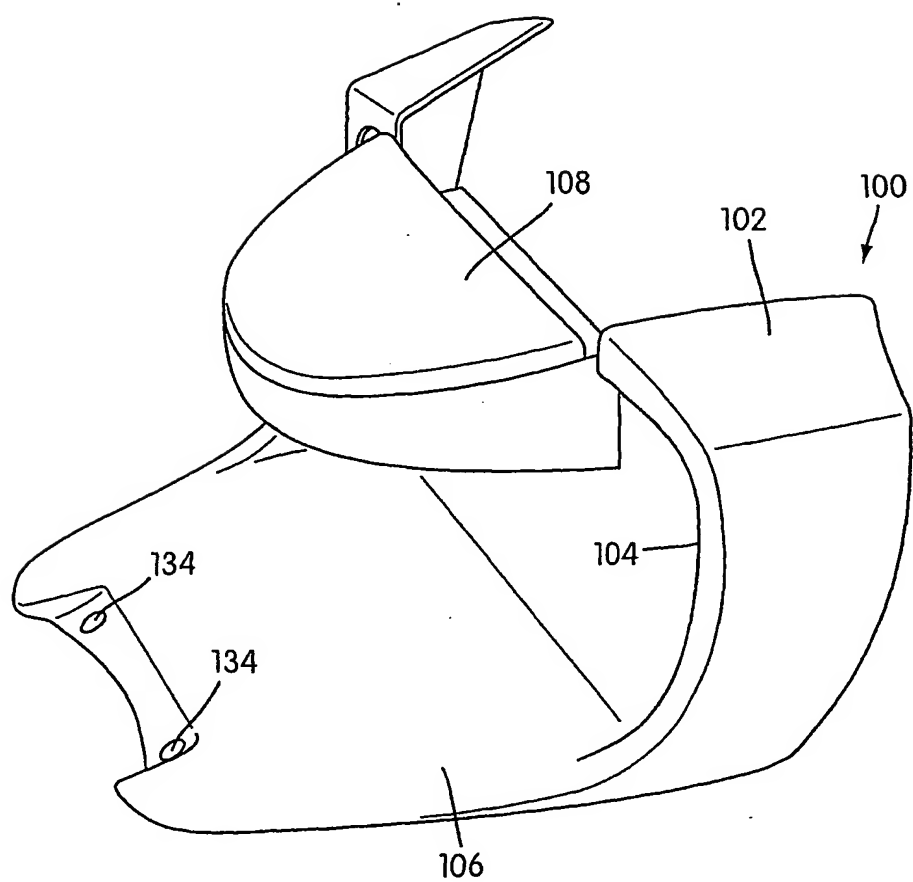


FIG. 15

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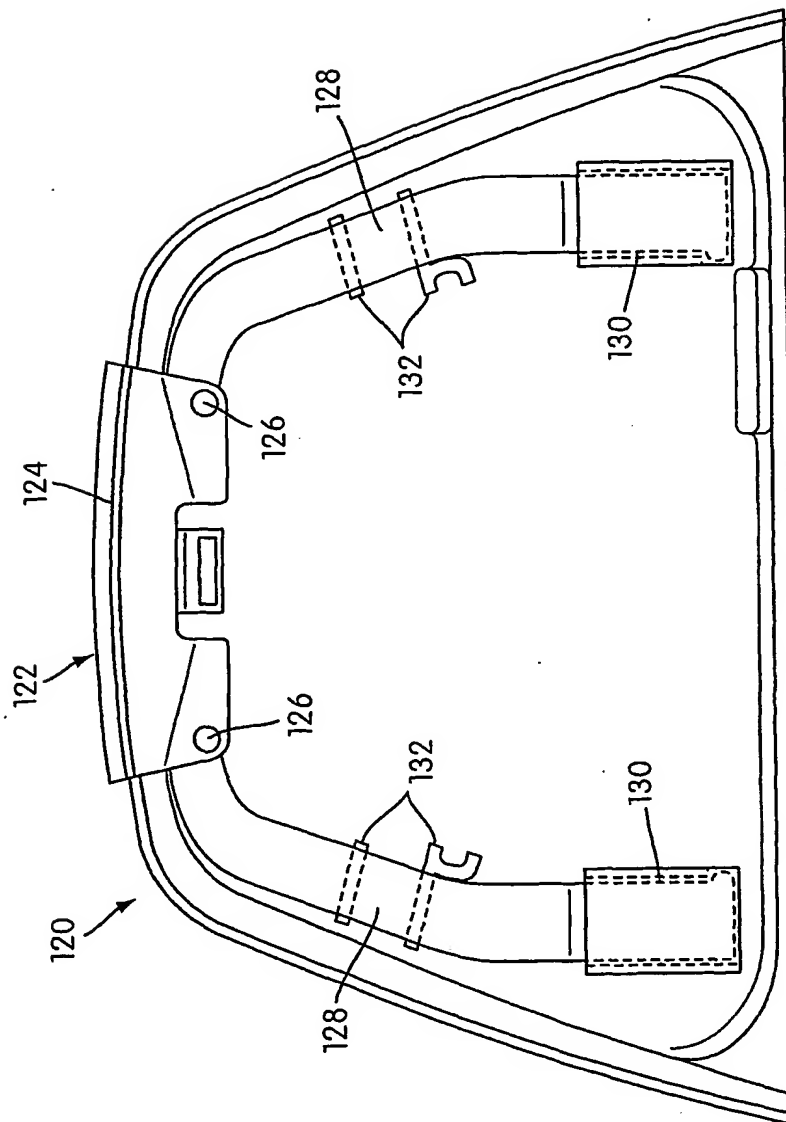


FIG. 16

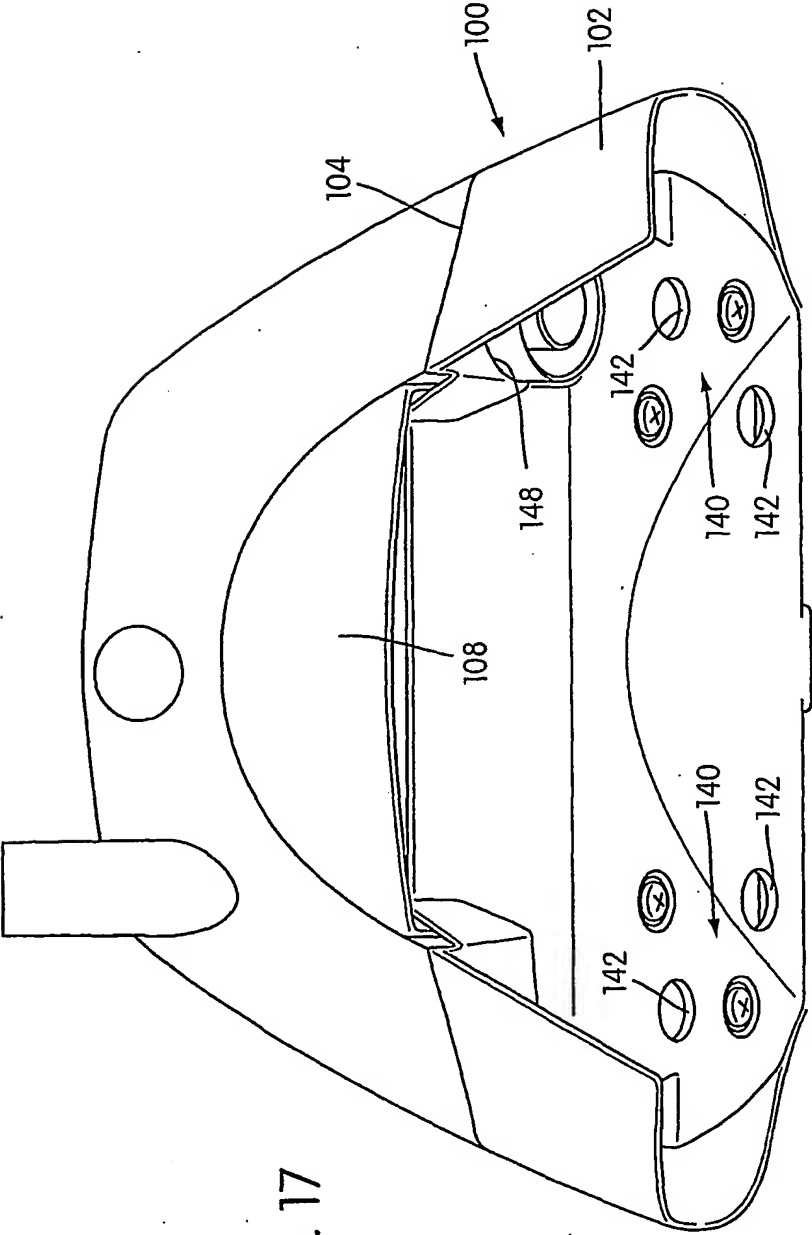


FIG. 17

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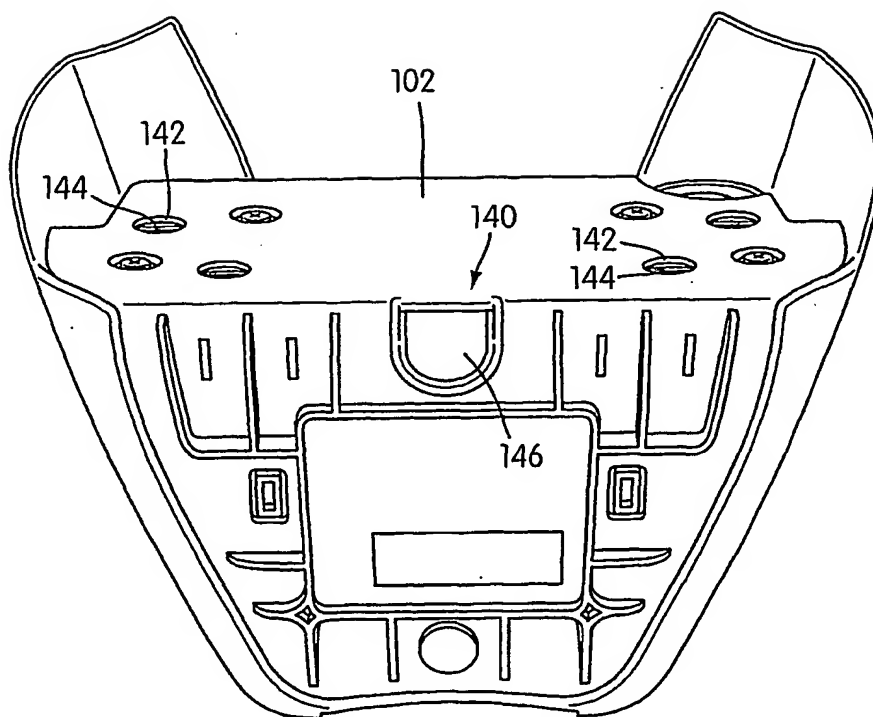
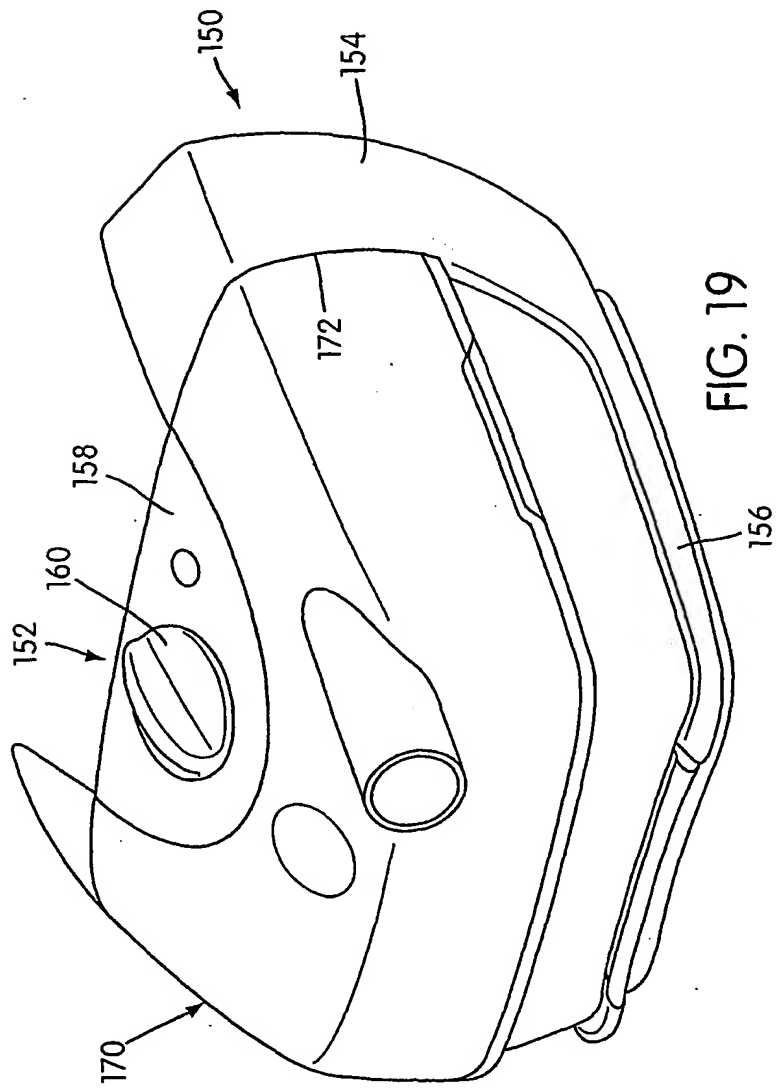


FIG. 18

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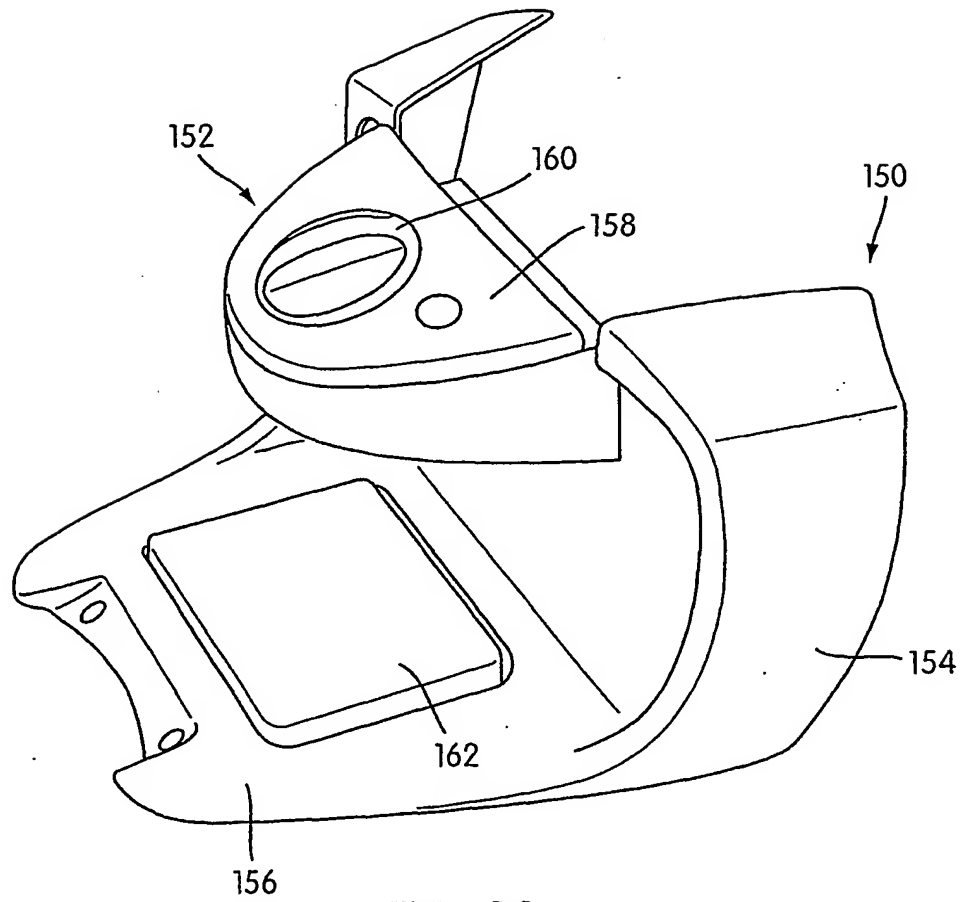


FIG. 20

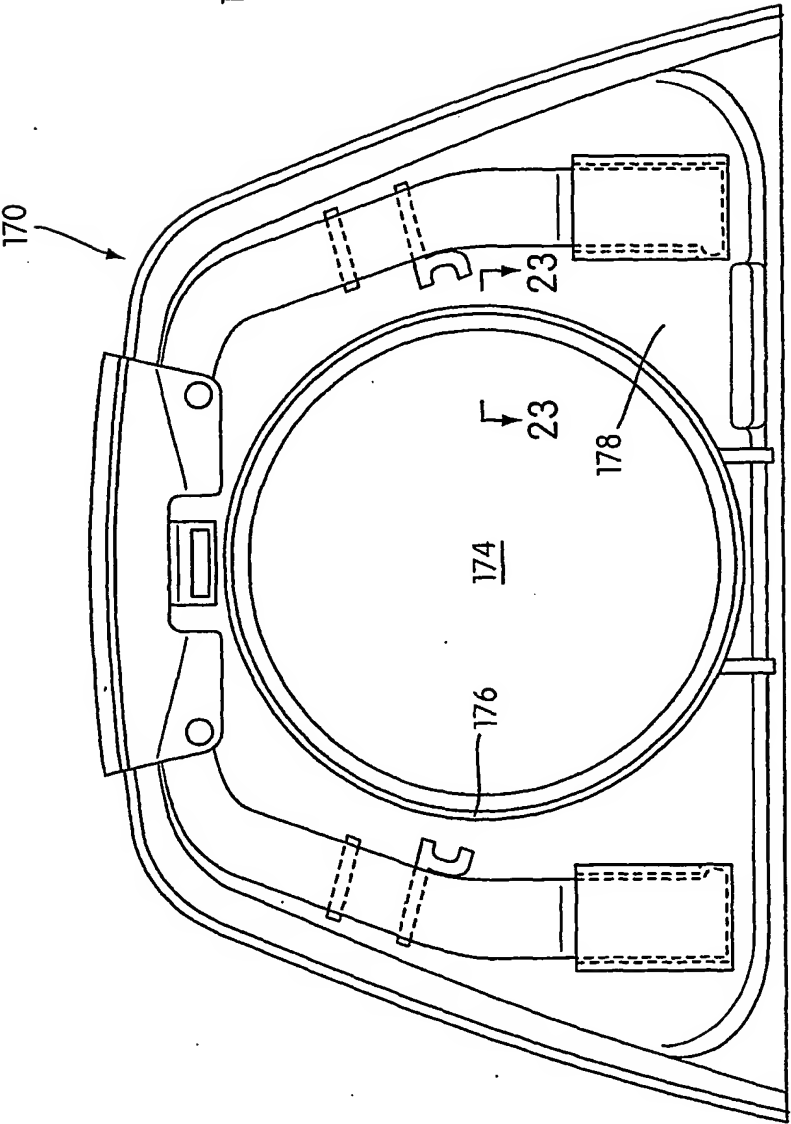


FIG. 21

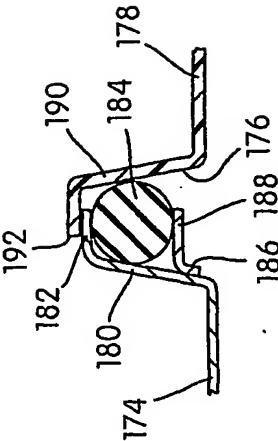


FIG. 22

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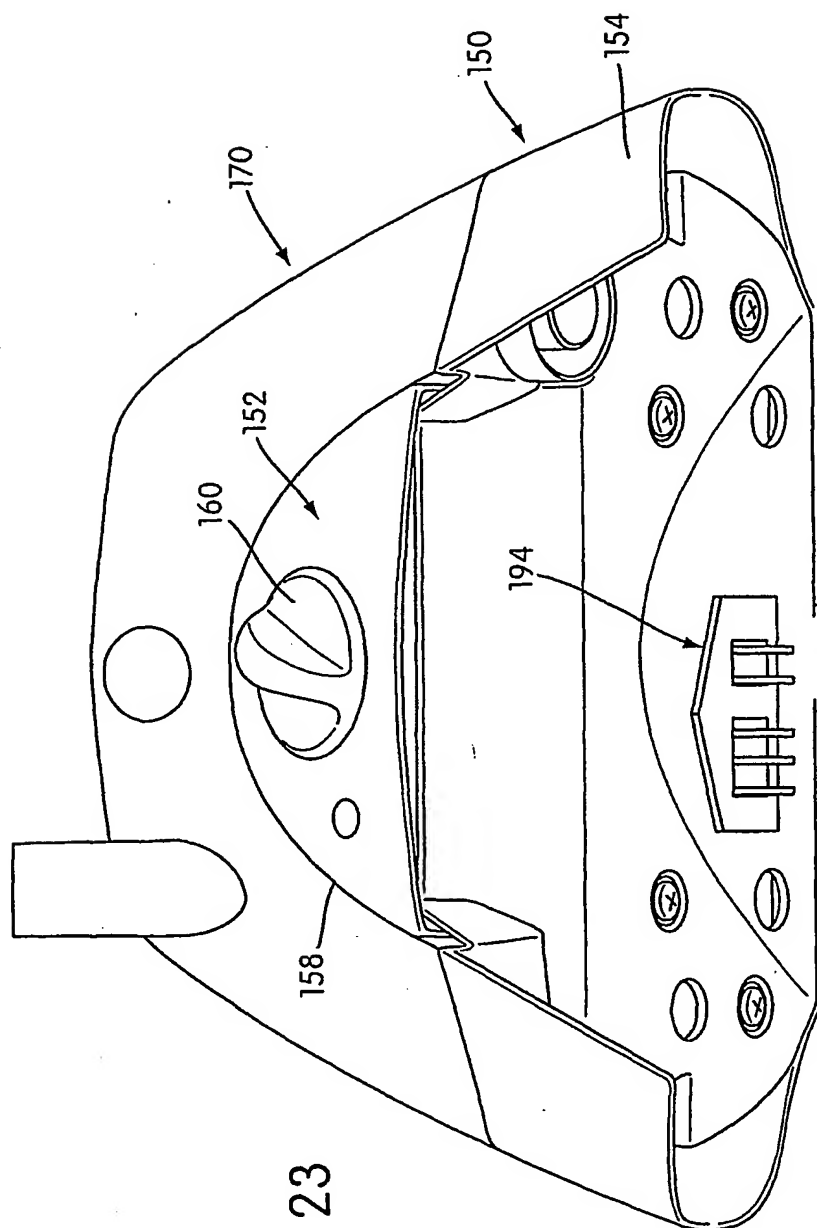


FIG. 23

## INTERNATIONAL SEARCH REPORT

 International application No.  
**PCT/AU02/00155**

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
Int. Cl. 7: A61M 16/16		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) REFER TO ELECTRONIC SEARCH BELOW		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) DWPI + keywords: tip tilt knock spil run leak water liquid fluid block prevent, and similar terms		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 589429 B1 (FISHER & PAYKEL LTD) 30 March 1994 Columns 1-6 and figures	1, 2, 19
X	EP 1055431 A2 (FISHER & PAYKEL LTD) 29 November 2000 Whole document	1, 2, 19
<input type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 13 March 2002		Date of mailing of the international search report 21 MAR 2002
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929		Authorized officer  Sue Thomas Telephone No : (02) 6283 2454

INTERNATIONAL SEARCH REPORT  
Information on patent family members

International application No.  
**PCT/AU02/00155**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member	
EP	1055431	AU	200032531	JP	2000337670
EP	589429	JP	6235538	US	5445143
END OF ANNEX					

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